

U.S. House of Representatives
Committee on Ethics

18 AUG 31 AM 10:23

MEMBER / OFFICER POST-TRAVEL DISCLOSURE FORM
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be
subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Rep. Elizabeth H. Esty
2. a. Name of accompanying relative: Dan Esty or None ☐
b. Relationship to Traveler: ☒ Spouse ☐ Child ☐ Other (specify): _____
3. a. Dates of departure and return: Departure: August 15, 2018 Return: August 19, 2018
b. Dates at personal expense: Stacked the trip with personal travel or None ☒
met group in at own expense
4. Departure city: Vancouver Destination: Vancouver, BC, Canada Return city: Hartford, CT
5. Sponsor(s) (who paid for the trip): The Aspen Institute, Inc. (Congressional Program)
6. Describe meetings and events attended (attach additional pages if necessary): Energy policy
discussions with North American officials, academics, and experts.
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
a. ☒ a completed Sponsor Post-Travel Disclosure Form;
b. ☒ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
c. ☒ page 2 of the completed Traveler Form submitted by the Member or officer; and
d. ☒ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box): ☒
b. If not, explain: _____

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached Sponsor Post-Travel Disclosure Form were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER:

Elizabeth H. Esty

DATE:

8/28/18

U.S. House of Representatives
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. *A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.* You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): _____
The Aspen Institute, Inc. (Congressional Program)

2. Travel Destination(s): Vancouver, BC, Canada

3. Date of Departure: Aug. 15, 2018 Date of Return: Aug. 19, 2018

4. Name(s) of Traveler(s): Rep. Elizabeth Esty and Dan Esty
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)

5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	\$2,200	\$1,200	\$560	\$575 for meeting room expenses
Accompanying Relative	\$2,200	-0-	\$560	\$575 for meeting room expenses

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box): ☒

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
Name: Dan Glickman Title: Vice President, Aspen Institute
Organization: The Aspen Institute, Inc.

I am an officer of the above-named organization (signify statement is true by checking box): ☒

Address: 2300 N Street, NW, Suite 700, Washington, DC 20037

Telephone number: 202-736-5859 (Lisa Jones)

Email Address: lisa.jones@aspeninst.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Elizabeth H. Esty
2. Sponsor(s) (who will be paying for the trip): The Aspen Institute, Inc. (Congressional Program)
3. Travel destination(s): Vancouver, Canada
4. a. Date of departure August 15, 2018 Date of return: August 19, 2018
b. Will you be extending the trip at your personal expense? ☐ Yes ☒ No
If yes, dates at personal expense: _____
5. a. Will you be accompanied by a relative at the sponsor's expense? ☒ Yes ☐ No
b. If yes:
(1) Name of accompanying relative: Dan Esty
(2) Relationship to traveler: ☒ Spouse ☐ Child ☐ Other (specify): _____
(3) Accompanying relative is at least 18 years of age: ☒ Yes ☐ No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ☒ No
b. If yes, explain why the second night of lodging is warranted:

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☒ Yes ☐ No
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.
Rep. Esty's participation in the Aspen Institute's Congressional
Program will provide her with non-partisan educational forums on
public policy issues.
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? ☐ Yes ☒ No
10. **FOR STAFF TRAVELERS:**
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: _____

Signature of Employing Member

U.S. House of Representatives
Committee on Ethics

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): _____
The Aspen Institute, Inc. (Congressional Program)
2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box): ☒
3. Check only one: I represent that:
 - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip ☐ *or*
 - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds ☐ *or*
 - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☒If "c" is checked, list the names of the additional sponsors: _____
Henry Luce Foundation, Democracy Fund, Rockefeller Brothers Fund foundations
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):
See attached list
5. Is travel being offered to an accompanying relative of the House invitee(s)? ☒ Yes ☐ No
6. Date of departure: August 15, 2018 Date of return: August 19, 2018
7. a. City of departure: Washington, DC or the district
b. Destination(s): Vancouver, British Columbia, Canada
c. City of return: Washington, DC or the district
8. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ *or*
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☒ *or*
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐
9. Check one of the following:
 - a. I checked 8(a) or (b) above: ☒
 - b. I checked 8(c) above but am not offering any lodging: ☐
 - c. I checked 8(c) above and am offering lodging and meals for one night: ☐ *or*
 - d. I checked 8(c) above and am offering lodging and meals for two nights: ☐If "d" is checked, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box): ☒
11. Check one:
- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): ☒ or
- b. N/A – trip sponsor is a U.S. institution of higher education. ☐
12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

Aspen Institute's Congressional Program (AICP) provides non-partisan educational forums for members of Congress on public policy issues. AICP has organized and will conduct the conference and has selected and invited the attendees. The foundations listed in Question 3C provide grant funding to AICP generally; they do not play a role in organizing and conducting the conference.

13. Answer parts a and b. Answer part c if necessary.
- a. Mode of travel: Air ☒ Rail ☐ Bus ☐ Car ☐ Other ☐ (Specify: _____)
- b. Class of travel: Coach ☒ Business ☒ First ☐ Charter ☐ Other ☐ (Specify: _____)
- c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box): ☒

15. I represent that either (check one of the following):
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ or
- b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☒

If "b" is checked:

1) Detail the cost per day of meals (approximate cost may be provided): _____
\$140

2) Provide reason for selecting the location of the event or trip: _____
Vancouver facilitates participation of several Canadian scholars on the agenda, as well as showcasing British Columbia's experience with similar energy policy discussions faced in the U.S. - such as carbon tax, pipeline routing issues, and governmental promotion of renewable energy.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: Fairmont Waterfront Hotel City: Vancouver Cost per night: \$300

Reason(s) for selecting: Hotel has required technology & meeting space with security a key factor.

Hotel name: _____ City: _____ Cost per night: _____

Reason(s) for selecting: _____

Hotel name: _____ City: _____ Cost per night: _____

Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box): ☒

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	\$2,200	\$1200	\$560
For each accompanying relative	\$2,200	-0-	\$560

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$575	meeting room expenses
For each accompanying relative	\$575	meeting room expenses

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:
a. I certify that I am an officer of the organization listed below. ☒ *or*
b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐
20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name: _____

Dan Glickman

Title: _____

Vice President, Aspen Institute; Executive Director, Congressional Program

Organization: _____

The Aspen Institute, Inc.

Address: _____

2300 N Street NW, Suite #700, Washington, DC 20037

Telephone number: _____

202-736-5859 (Lisa Jones)

Email address: _____

lisa.jones@aspeninst.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

Susan W. Brooks, Indiana
Chairwoman
Theodore E. Deutch, Florida
Ranking Member

Kenny Marchant, Texas
Leonard Lance, New Jersey
Mimi Walters, California
John Ratcliffe, Texas

Yvette D. Clarke, New York
Jared Polis, Colorado
Anthony Brown, Maryland
Steve Cohen, Tennessee



ONE HUNDRED FIFTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

August 10, 2018

Thomas A. Rust
Staff Director and Chief Counsel

Donna Herbert
Director of Administration

Megan Savage
*Chief of Staff and Counsel to
the Chairwoman*

Daniel J. Taylor
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515-6328
Telephone: (202) 225-7103
Facsimile: (202) 225-7392

The Honorable Elizabeth H. Esty
U.S. House of Representatives
221 Cannon House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves you and your spouse's proposed trip to Canada, scheduled for August 15 to 19, 2018, sponsored by the Aspen Institute Inc., the Henry Luce Foundation, Democracy Fund, and Rockefeller Brothers Fund.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act (FGDA), gifts "of minimal value [currently \$390] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of minimal value received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments filed with the Committee, and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

The Honorable Elizabeth H. Esty
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

A handwritten signature in blue ink, appearing to read "Susan W. Brooks".

Susan W. Brooks
Chairwoman

A handwritten signature in blue ink, appearing to read "Theodore E. Deutch".

Theodore E. Deutch
Ranking Member

SWB/TED:jeb

ENERGY POLICY CHALLENGES FOR A SECURE NORTH AMERICA

THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM
August 15-19, 2018 Vancouver, British Columbia, Canada

WEDNESDAY, August 15:

Participants arrive in Vancouver

Rep. Elizabeth Esty and her husband, Dan Esty, arrive in Vancouver at personal expense.

6:00-7:00 pm

Pre-Dinner Remarks

THE GLOBAL ENERGY PICTURE AND NATIONAL SECURITY: THREATS AND OPPORTUNITIES FOR THE U.S.

The energy revolution of the past decade assisted by fracking technology has put North America into a position of energy abundance, which has transformed traditional energy and foreign policy. Concurrently, advancements in renewable energy and energy efficiency have shifted the makeup of energy consumption with implications for producers, consumers and government policy. Meghan O'Sullivan, author of *Windfall: How the New Energy Abundance Upends Global Politics and Strengthens America's Power*, will address these trends, their policy implications and what they portend for the future.

Meghan O'Sullivan, Director, Geopolitics of Energy Project,
Kennedy School of Government, Harvard University

7:00 pm-9:00 pm

Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

THURSDAY, August 16:

7:00-8:45 am

Breakfast is available

9:00 am

INTRODUCTION AND FRAMEWORK OF THE CONFERENCE

This conference, designed to incorporate both American and Canadian expertise, is organized into roundtable conversations and pre-dinner remarks. This segment will highlight how the conference will be conducted, how those with questions will be recognized and how responses will be timed to allow for as many questions and answers as possible. This format is important to enable full participation with so many knowledgeable and experienced people around the table.

Dan Glickman, Executive Director, Aspen Institute Congressional Program

9:15 am

Roundtable Discussion

**THE ROLE OF TECHNOLOGY AND CONSUMER CHOICE
IN ENERGY SUPPLY AND DEMAND**

Technological developments are constantly impacting the energy industry and giving consumers a wider choice. From the use of “smart-meters” in homes, to in-home generation using competitive renewable technologies, to the advent of fracking which sparked an energy revolution in the U.S.—the continued contribution of technological improvements in the energy sector is a certainty.

- How can government best promote continued technological improvements that provides reliable, safe, resilient source of energy at an affordable cost without heavy intervention in the marketplace?
- Does the U.S. invest adequately in research and development?
- How are the responsibilities divided between public and private research?
- How does federal research bridge into the marketplace fairly without companies using public support for private gain?

Kelly Gallagher, Director, Center for International Environment & Resource Policy,
Fletcher School, Tufts University, Medford, MA

James Connaughton, President & CEO,
Nautilus Data Technologies, Pleasanton, CA

11:00 am

Break

11:15 am

Roundtable Discussion

**THE FUTURE OF THE AUTO INDUSTRY:
EVOLUTION OR REVOLUTION?**

Major changes are predicted for the near future for the auto industry, with many policy implications. The U.S. and Canada are connected on many of these points with transnational manufacturing and the need to have vehicles that can comply with standards in both countries. The trends toward more electric vehicles and the emergence of autonomous cars raises many policy questions that lawmakers need to begin to consider. Should these trends be left entirely to the marketplace, or are there important roles for government? What role do states have in shaping transportation policies? For example, as California develops the next stage of its greenhouse gas standards, joined by another dozen states, will this *defacto* set a new national standard because of its market volume, and will it have a similar impact in Canada, given that over 40% of Canada’s vehicle production is sold in the U.S.?

- Will better conventional vehicles dominate or will there be a rapid transition to electric vehicles?

- What role do federal passenger vehicle fuel economy standards play? Is this process effective? How do U.S. standards impact Canada?
- What impacts will Canada's carbon tax have on the U.S. market?
- What role will autonomous vehicles play, and when?
- Is there a federal role regarding needed infrastructure for widespread electric-charging of vehicles?
- Will shared—rather than owned—vehicles become more widespread, and if so, what are the implications?
- Do road building standards need adjustments for automated vehicles?
- Will urban mainstays such as parking garages and street parking become obsolete with autonomous vehicles?
- Will drivers be displaced as a profession, with commensurate impacts on the workforce?

Robert Bienenfeld, *Assistant Vice President, Environment & Energy Strategy, American Honda Motor Company*

Drew Kodjak, *CEO, International Council on Clean Transportation, Washington, DC*

- | | |
|--------------|--|
| 1:00-2:00 pm | <p><i>Working Luncheon</i></p> <p>Discussion continues between members of Congress and scholars on the challenges for the U.S. energy policy.</p> |
| 2:30-4:00 pm | <p><i>Individual Discussions</i></p> <p>Members of Congress and scholars meet individually to discuss U.S. energy policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning sessions include Robert Bienenfeld, James Connaughton, Kelly Gallagher, and Drew Kodjak.</p> |
| 6:30-9:00 pm | <p><i>Working Dinner</i></p> <p>Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.</p> |

FRIDAY, August 17:

7:00-8:55 am Breakfast is available

9:00 am *Roundtable Discussion*

CANADA'S APPROACH TO ENERGY CHALLENGES AND IMPLICATIONS FOR THE U.S.: CARBON TAXES, CARBON CAPS, OIL SANDS, PIPELINES, RENEWABLE INCENTIVES & TAX POLICY

Canada is in the process of instituting a nationwide price on carbon emissions. As the largest exporter of oil to the U.S., Canada is both in the forefront of trying to

integrate policies that address pledges made in the Paris climate accords, while at the same time promoting pipelines and exploitation of oil sands.

- Why is Canada instituting carbon pricing while the U.S. has not yet taken that step—and what does this dichotomy mean for integrated cross-border commerce in energy?
- What are the motivations for Canada to institute a carbon price while it might make Canadian energy less competitive in the U.S.?
- Does lack of a carbon price give U.S. businesses a comparative advantage, or does it disadvantage American enterprises in any way?
- What is the future of the oil sands in a world of moderate oil prices?

Glen Murray, *Executive Director, Pembina Institute, Calgary*
Gitane De Silva, *Alberta's Senior Representative to the U.S.*

11:00 am

Break

11:15 am

Roundtable Discussion

**THE NORTHERN BELT & THE ARCTIC AND CLIMATE CHANGE:
IMPACTS ON AGRICULTURE, FORESTRY, AND COMMERCE AND ITS
RELEVANCE FOR THE U.S.**

Climate change has had a more pronounced impact on northern climates. In Alaska the average temperature has risen at twice the average for the lower 48 states in the past six decades. This warming has positive and negative impacts which our scholars from Alaska will address.

- What are the principal effects of fast warming on the ecological and human environments in the north?
- Will warming in the north affect weather systems in the temperate or tropical latitudes?
- What are the implications of opening up the Arctic Ocean to commercial use and shipping?
- What adaptation policies can be implemented to better cope with these shifts in climate?

Terry Chapin, *Professor Emeritus of Ecology,
Institute of Arctic Biology, University of Alaska, Fairbanks*
Gwen Holdmann, *Director, Alaska Center for Energy and Power
University of Alaska, Fairbanks*

1:00-2:00 pm

Working Luncheon

Discussion continues between members of Congress and scholars on the challenges for the U.S. energy policy.

2:30-4:00 pm

Individual Discussions

Members of Congress and scholars meet individually to discuss U.S. energy policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning sessions include Terry Chapin, Gitane De Silva, Gwen Holdmann, and Glen Murray.

5:30-6:30 pm

Pre-Dinner Speaker

**NAVIGATING INTERSTATE REGULATIONS
TO FOSTER SENSIBLE ENERGY USE**

The federal government is responsible for regulating wholesale energy markets through the Federal Energy Regulatory Commission. How do legislators and regulators incentivize sensible energy use in the markets they regulate? How can interstate energy issues, such as Wyoming wind producers having challenges selling to California, be managed better? Former FERC member Colette Honorable will discuss the federal role in easing interstate commerce of energy.

***Colette Honorable**, Senior Fellow, Bipartisan Policy Center,
former Federal Energy Regulatory Commission member*

6:30-9:00 pm

Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

SATURDAY, August 18:

7:00-8:45 am

Breakfast is available

9:00 am

Roundtable Discussion

NAFTA'S IMPACT ON ENERGY USE IN THE AMERICAS

Canada and the U.S. share the same electrical grid. There are numerous gas and oil pipelines that cross our international borders. Mexico imports large quantities of U.S. natural gas. All three countries have numerous intertwined energy connections.

- How does energy fit into the ongoing discussions of reviewing the NAFTA agreement?
- Is the cross-border commerce in energy immune from political vulnerabilities?
- How do overt or hidden subsidies of energy affect trans-border energy commerce?
- Is there merit for more explicit cooperation on energy production and consumption between Canada, the U.S., and Mexico?
- Should there be any limitations or standards regarding private sale or purchase of energy across these national boundaries?

***Carlos Pascual**, Senior Vice President, Global Energy & International Affairs,
IHS Markit; former U.S. Ambassador to Mexico and Ukraine, Mexico City*

***Sergio Marchi**, CEO, Canadian Electricity Association;
former Canadian Ambassador to the World Trade Organization, Ottawa*

11:00 am Break

11:15 am *Roundtable Discussion*

**CHANGING CONTEXTS FOR ENERGY
AND IMPLICATIONS FOR U.S. POLICY**

A rich set of new energy supply and demand technologies is starting to disrupt conventional energy markets. Smart policy can accelerate the adoption of technologies that meet the four key consumer demands of reliability, safety, affordability, and low pollution.

- Which technologies are becoming affordable, or even cheaper than the incumbents? How will those technologies affect existing technologies and markets?
- Which policies are best designed to meet all four energy policy requirements? Which policies are most consonant with a free market driving decisions?
- How can policy ensure that the U.S. has a competitive lead in energy technology?

***Howard Gruenspecht**, senior economist, MIT Energy Initiative;
former Deputy Administrator, Energy Information Agency, Cambridge, MA
Hal Harvey, CEO, Energy Innovation LLC, San Francisco*

1:00-2:00 pm *Working Luncheon*
Discussion continues between members of Congress and scholars on the challenges for the U.S. energy policy.

2:30-4:00 pm *Individual Discussions*
Members of Congress and scholars meet individually to discuss U.S. energy policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning sessions include Howard Gruenspecht, Hal Harvey, Sergio Marchi, and Carlos Pascual.

5:30-6:30 pm *Pre-Dinner Speaker*

**THE FUTURE OF THE U.S. ELECTRICITY SYSTEM:
MEETING THE GOALS OF AFFORDABILITY, RESILIENCE, RELIABILITY,
AND THE ENVIRONMENT**

A reliable, predictable supply of electricity is a necessity of life in the 21st Century. Power outages wreak havoc, have costly consequences, and reveal a vulnerability that many think should be an anachronism. New technologies offer a rich menu

of options for the electricity system, from zero-emissions power supply to a better-managed "digital grid." How is the utility business changing? What government policies can contribute to a secure and predictable delivery of electrical power? What is the best role for the federal government in the future of the electricity industry? Ben Fowke, CEO of a XCEL Energy, a major utility in the West and Midwest, will address these and other policy questions.

Ben Fowke, *Chairman of the Board, President and CEO, XCEL Energy, Minneapolis*

6:30-9:00 pm

Working Dinner

Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

SUNDAY, August 19:

Participants depart Vancouver

Rep. Elizabeth Esty and Dan Esty depart Vancouver at 7 am on Air Canada 102 and arrive in Hartford, Conn., at 6:12 pm on Air Canada 8024.



Dan Glickman

Executive Director

Congressional Program

March 20, 2018

The Honorable Elizabeth Esty
U.S. House of Representatives
Washington, DC 20515

Dear Elizabeth:

I would like to invite you and Dan to participate in a congressional conference on ***Energy Policy Challenges for a Secure North America***, August 15-19, 2018, in **Vancouver, British Columbia** during the August recess. We have limited space and must hear from you proactively as soon as possible if you wish to participate.

North America has entered an era of energy abundance, made possible by technological advances to produce high quantities of oil and gas from shale as well as advances in use of renewables and energy efficiencies, making the U.S. less and less dependent on foreign energy. Major changes in the auto industry are underway that will have significant impact on energy consumption in the future. Integrated trans-border energy systems with neighboring Canada and Mexico highlights the how federal energy policy can have an impact throughout North America. These issues energy policy questions will be analyzed in a comprehensive three-day focus, drawing policy examples when appropriate from Canada. The agenda outline is attached.

We will have a mix of prominent American and Canadian scholars to assist our discussions. Attendance is by invitation only, with no outside observers, lobbyists, or congressional staff. Funding is provided solely by grants from foundations—no government, individual, corporate or special interest funds are accepted.

Under guidelines established by the House Committee on Standards of Official Conduct, we will provide you with a signed Private Sponsor Travel Certification Form affirming that no lobbyists fund this activity, that the Aspen Institute does not hire or retain lobbyists, and that no lobbyists will be present. Our purpose is education, not advocacy.

If your accompanying spouse or family member is a registered lobbyist, under the ethics rules of the House, their participation would not be allowed. Guidance from the Committee will not allow us to accept any enrollments less than one month prior to the conference.

Departure will be on Wednesday, August 15th and we will return on Sunday, August 19th. Should you accept the invitation, it is required that you participate through the duration of the conference.



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The Honorable Elizabeth Esty
March 20, 2018
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Expenses for you and Dan, including airfare on a carrier with whom we have negotiated a fare, lodging and meals will be paid by the Aspen Institute Congressional Program. Under the ethics rules, no expenses are covered for entertainment or recreation. Congressional participants are limited to only one accompanying family member.

The Aspen Institute, an independent, nonprofit organization founded in 1950, provides a nonpartisan, neutral forum for leaders to discuss critical issues. The Institute's Congressional Program is designed to promote leadership on selected public policy issues in the Congress by bringing legislators together with internationally-recognized scholars and analysts in high-level discussion. Since the program's inception, over 450 Members of Congress have participated in the 136 conferences we have conducted.

Enclosed is a fact sheet on the program. In addition to answering any questions you may have, it has proven to be useful in responding to public and press inquiries.

Additionally, we hold breakfast meetings in the Capitol throughout the year to keep Members of Congress up-to-date between our annual conferences. We hope you will be able to participate in the extended educational offerings of our breakfast series.

If you have any questions, please feel free to call me at 202-736-5825. I hope you and Dan will join us for this important conference.

Sincerely,

A handwritten signature in cursive script that reads "Dan".

Dan Glickman

Enclosures